

Practitioner's Docket No. 536-009.021

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: H. MUSSIG et al.

Application No.: 10 /528,868

Group No.: To be assigned

Filed: March 24, 2005

Examiner: To be assigned

For: Semiconductor dielectric component with a praseodymium oxide dielectric

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL OF SUBSTITUTE SPECIFICATION (37 C.F.R. § 1.125)

NOTE: A substitute specification, excluding the claims, may be filed at any point up to payment of the issue fee if it is accompanied by items indicated below. See 37 C.F.R. § 1.125(b).

1. Enclosed is a substitute specification for the originally filed specification in this application.

NOTE: The substitute specification must be submitted in clean form without markings as to amended material. The paragraphs of any substitute specification, other than the claims, should be individually numbered in Arabic numerals so that any amendment to the specification may be made by replacement paragraph in accordance with § 1.121(b)(1). 37 C.F.R. § 1.125(c).

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 \*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

## TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 12 July 2005

Signature

Annemarie Maher

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Substitute Specification [9-27]—page 1 of 2)

2.

*(complete the following applicable item)*

- ☐ This substitute specification is submitted, in response to a requirement by the Examiner.

**OR**

- ☒ This substitute specification is being voluntarily submitted, in order to facilitate the processing of the application.

3. Also enclosed is a marked-up copy of the substitute specification showing the matter being added to and the matter being deleted from the specification.

4. Accompanying this transmittal is a statement, as required by 37 C.F.R. § 1.125(b)(1), that the substitute specification transmitted herewith contains no new matter.

Reg. No.: 41,266

Tel. No.: ( 203 ) 261-1234

Customer No.: 004955

  
\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

**James A. Retter**

*(type or print name of practitioner)*

**Ware, Fressola, Van Der Sluys & Adolphson LLP**

P.O. Address **Bradford Green, Building Five  
755 Main Street, P.O. Box 224  
Monroe, CT 06468**

536-009.021

Practitioner's Docket No. \_\_\_\_\_

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **H. MUSSIG et al.**

Application No.: **10 / 528,868** Group No.: **To be assigned**

Filed: **March 24, 2005** Examiner: **To be assigned**

For: **Semiconductor dielectric component with a praseodymium oxide dielectric**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**STATEMENT THAT SUBSTITUTE SPECIFICATION  
CONTAINS NO NEW MATTER (37 C.F.R. § 1.125)**

**1. Identification of person making this statement**

**James A. Retter**

*(type or print name)*

**Ware, Fressola, Van Der Sluys & Adolphson LLP  
Bradford Green, Building Five**

Address **755 Main Street, P.O. Box 224  
Monroe, CT 06468**

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

*(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**37 C.F.R. § 1.8(a)**

**37 C.F.R. § 1.10 \***

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 12 July 2005

Signature

*Annemarie Maher*

*(type or print name of person certifying)*

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

The person making this statement is:

*(complete applicable item)*

- ☐ the inventor in this application.
- ☒ the attorney in this application, Registration Number 41,266.
- ☐ other *(indicate relationship)*

**2. Statement**

I hereby state that the accompanying substitute specification contains no new matter over that contained in the above-identified application originally filed.

*(complete the following, if applicable)*

- ☒ I further state that the changes made are the same as indicated in the inter-lineated substitute specification also accompanying this statement.



\_\_\_\_\_  
Signature of person making statement

100-15440 12 JUL 2005 #2

Attorney Docket No. 536-009.021

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: H. MUSSIG et al.

Serial No.: 0 10 528,868

Group No.: To be assigned

Filed: March 24, 2005

Examiner: To be assigned

For: Semiconductor dielectric component (complete if applicable)  
with a praseodymium oxide dielectric

Date of mailing of "Notice of Allowance and  
Base Issue Fee Due" \_\_\_\_\_

Issue Batch No. \_\_\_\_\_

Commissioner of Patents and Trademarks  
Washington, D. C. 20231

TRANSMITTAL OF FORMAL DRAWING(S) PRIOR TO NOTICE OF ALLOWANCE

Attached please find the formal drawings for this application. \*

Reg. No. 41,266



SIGNATURE OF ATTORNEY  
James A. Retter

Tel. No. (203) 261-1234

Type or print name of attorney

Ware, Fressola, Van Der Sluys & Adolphson LLP

Customer No.: 004955

P.O. Address Bradford Green, Building Five  
755 Main St., PO Box 224, Monroe, CT 06468

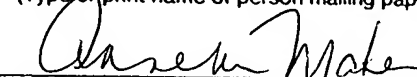
NOTE: The art unit, serial number and number of drawing sheets should be written on the reverse side of the drawings. However, for identification of the serial number, the PTO prefers that it be placed on the front. Notice of September 5, 1986 (1070 O.G. 46 to 59, 54).

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: 12 July 2005

Annemarie Maher  
(Type or print name of person mailing paper)

  
(Signature of person mailing paper)

\* These are being submitted because Fig. 1 of the international application includes German-language annotations, which are provided in English here, according to the certified translation also enclosed herewith.

(Transmittal of Formal Drawings [5-2])

## IN THE UNITED STATES PATENT AND TRADE MARK OFFICE

VERIFICATION OF TRANSLATION

I, Michael Wallace Richard Turner, Bachelor of Arts, Chartered Patent Attorney, European Patent Attorney, of 1 Horsefair Mews, Romsey, Hampshire SO51 8JG, England, do hereby declare that I am conversant with the English and German languages and that I am a competent translator thereof;

I verify that the attached English translation is a true and correct translation made by me of the attached specification in the German language of International Application PCT/EP03/10625;

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: April 25, 2005

Michael Wallace Richard Turner  
M W R Turner